

JFW

Attorney Docket No. INET-001/00US

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of **Volker Jens Berlin**

Serial No.: 10/743,772

Examiner: Not Yet Assigned

Confirmation No.: 9451

Art Unit: 2131

Filed: December 24, 2003

For: **TRANSLATION OF SECURE COMMUNICATIONS FOR HANDSHAKE  
PROTOCOLS**

U.S. Patent and Trademark Office  
2011 South Clark Place  
Customer Window  
Crystal Plaza Two, Lobby, Room 1B03  
Arlington, VA 22202

**INFORMATION DISCLOSURE STATEMENT TRANSMITTAL**

Enclosed is an Information Disclosure Statement and accompanying Form  
PTO/SB/08A for the above-identified patent application.

- ☒ In accordance with 37 C.F.R. §1.97(b), no additional fee for submission of the IDS is required.
- ☐ In accordance with 37 C.F.R. §1.97(c), also enclosed is:
- ☐ the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p); or
- ☐ a statement as specified in 37 C.F.R. §1.97(e).
- ☐ In accordance with 37 C.F.R. §1.97(d), a statement as specified in 37 C.F.R. § 1.97(e) and the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p) are also enclosed.
- ☐ Check No. \_\_\_ in the amount of \$\_\_\_ for the total fee is attached.
- ☒ A return receipt postcard is also enclosed.
- ☐ Please charge \$\_\_\_ to Deposit Account No. 50-1283 for the total fee. This paper is being submitted in duplicate.

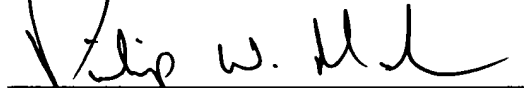
The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§1.16, 1.17, and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 50-1283.

Dated: June 16, 2004

Cooley Godward LLP  
ATTN: Patent Group  
One Freedom Square  
Reston Town Center  
11951 Freedom Drive  
Reston, VA 20190-5656  
Tel: (703) 456-8000  
Fax: (703) 456-8100

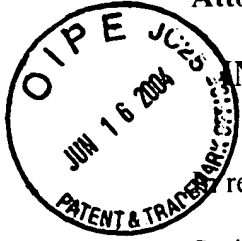
Respectfully submitted,  
COOLEY GODWARD LLP

By:

  
Philip W. Marsh  
Reg. No. 46,061

Attorney Docket No. INET-001/00US

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re application of **Volker Jens Berlin**

Serial No.: 10/743,772

Examiner: Not Yet Assigned

Confirmation No.: 9451

Art Unit: 2131

Filed: December 24, 2003

For: **TRANSLATION OF SECURE COMMUNICATIONS FOR HANDSHAKE PROTOCOLS**

---

U.S. Patent and Trademark Office  
2011 South Clark Place  
Customer Window  
Crystal Plaza Two, Lobby, Room 1B03  
Arlington, VA 22202

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §1.97(b)**

In accordance with the duty of disclosure set forth in 37 C.F.R. §1.56,  
Applicant(s) hereby submits the following information in conformance with 37 C.F.R.  
§§1.97 and 1.98.

- ☒ Pursuant to 37 C.F.R. §1.98, copies of documents C1-C8 cited in the attached Form PTO/SB/08 are enclosed.
- ☐ No copies of the publications listed on the attached Form PTO/SB/08A are being provided pursuant to 37 C.F.R. §1.98(d) because the publications were previously cited by or submitted to the Office in prior Application Serial No. \_\_\_ to which the above-identified application claims priority under 35 U.S.C. §120.
- ☒ No copies of any U.S. patents or U.S. patent application publications listed on the attached Form PTO/SB/08A are being provided pursuant to 37 C.F.R. §1.98 because this application was filed after June 30, 2003.
- ☐ Publication(s) \_\_\_ listed on the attached Form PTO/SB/08A were cited in a foreign search or examination report corresponding to \_\_\_ application serial no. \_\_\_ and mailed on \_\_\_.

- ☐ Enclosed is a copy of a non-English publication(s) \_\_\_\_\_. Pursuant to §609 of the M.P.E.P., Applicant submits the attached foreign search or examination report, which cites such non-English language publication(s).
- ☐ Enclosed is a copy of a non-English publication(s) \_\_\_\_\_. English language publication \_\_\_\_ (copy enclosed) claims priority from this non-English publication.
- ☐ Enclosed is an explanation of non-English publication(s) \_\_\_\_\_ for which an English translation is not available.
- ☐ Enclosed is an English translation of non-English publication(s) \_\_\_\_\_ cited in the attached Form PTO/SB/08A.
- ☐ Enclosed is a copy of pending patent Application Serial No. \_\_\_\_\_.

This Information Disclosure Statement is filed within any one of the following time periods:

- ☐ within three months from the filing date of this national application other than a CPA under 37 C.F.R. § 1.53(d);
- ☐ within three months from the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in this international application;
- ☒ before the mailing date of a first office action on the merits; or
- ☐ before the mailing of a first office action after the filing of a request for continued examination under 37 C.F.R. § 1.114.

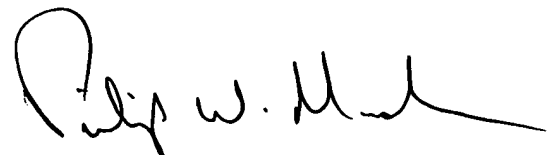
It is respectfully requested that the Examiner consider the above-noted information and return an initialed copy of the attached Form PTO/SB/08A to the undersigned.

Dated: June 16, 2004

Cooley Godward LLP  
ATTN: Patent Group  
One Freedom Square  
Reston Town Center  
11951 Freedom Drive  
Reston, VA 20190-5656  
Tel: (703) 456-8000  
Fax: (703) 456-8100

Respectfully submitted,  
**COOLEY GODWARD LLP**

By:



Philip W. Marsh  
Reg. No. 46,061



Please type a plus sign (+) inside this box →



PTO/SB/08A (08-00)

Substitute for form 1449B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>			<b>Complete if Known</b>		
			Application Number	10/743,772	
			Filing Date	December 24, 2003	
			First Named Inventor	Volker Jens Berlin	
			Group Art Unit	2131	
			Examiner Name	Not Yet Assigned	
Sheet	2	of	2	Attorney Docket Number	INET-001/00US

OTHER – NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		T <sup>2</sup>
	C1	"SQL Middleware: HiT JDBC/400", available at <a href="http://www.hitsw.com/products_services/sql400/jdbc400/jdbc400_dsheets.html">http://www.hitsw.com/products_services/sql400/jdbc400/jdbc400_dsheets.html</a> .		
	C2	KABUTZ, Heinz, "Microsoft's JDBC Driver for SQL Server Stacks Up Against Competition", 2003, pp. 1-3, available at <a href="http://www.devx.com/Java/Article/16356">http://www.devx.com/Java/Article/16356</a> .		
	C3	"SQL Access for DB2 Middleware", available at <a href="http://www.microway.com.au/catalog/hit/db2_jdbc.stm">http://www.microway.com.au/catalog/hit/db2_jdbc.stm</a> .		
	C4	"SequeLink JDBC Driver Reference, Chapter 4, Using SSL Encryption", 1999, pp. 1-11, available at <a href="http://datadirect-technologies.com/download/docs/SequeLink/javaref/sslencry.htm">http://datadirect-technologies.com/download/docs/SequeLink/javaref/sslencry.htm</a> .		
	C5	"FAQ-JDBC", IDS Software, 1997-2002, pp. 1-6, available at <a href="http://idssoftware.com/faq-j.html">http://idssoftware.com/faq-j.html</a> .		
	C6	DIERKS, T., et al., "The TLS Protocol Version 1.0", January 1999, pp. 1-75, available at <a href="http://www.ietf.org/rfc/rfc2246.txt">http://www.ietf.org/rfc/rfc2246.txt</a> .		
	C7	FREIER, Alan O., et al., "The SSL Protocol, Version 3.0", March 1996, available at <a href="http://wp.netscape.com/eng/ssl3/ssl-toc.html">http://wp.netscape.com/eng/ssl3/ssl-toc.html</a> .		
	C8	"Java Secure Socket Extension (JSSE) Reference Guide for the Java 2 SDK, Standard Edition, v. 1.4.2", 1994-2004, Sun Microsystems, Inc., pp. 1-81, available at <a href="http://java.sun.com/j2se/1.4.2/docs/guide/security/jsse/JSSERefGuide.html">http://java.sun.com/j2se/1.4.2/docs/guide/security/jsse/JSSERefGuide.html</a> .		

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

208902 v1/RE  
4H6%01!.DOC

<sup>1</sup> Unique citation designation number.

<sup>2</sup> Applicant is to place a check mark here if English language Translation attached.